

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/018,809	03/25/2002	Anand Srinivasan	WLJ.078	2132
VOLENTINE FRANCOS, & WHITT PLLC ONE FREEDOM SQUARE 11951 FREEDOM DRIVE SUITE 1260			EXAMINER	
			GOUDREAU, GEORGE A	
			ART UNIT	PAPER NUMBER
RESTON, VA 20190			1763	
•	•		DATE MAILED: 11/10/2004	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)			
Office Action Summary	10/018,809	SRINIVASAN ET AL.			
omes Action Gummary	Examiner	Art Unit			
The MAILING DATE of this commun.	George A. Goudreau	1763			
The MAILING DATE of this communi Period for Reply	cation appears on the cover sheet with	h the correspondence address			
A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNION - Extensions of time may be available under the provisions of after SIX (6) MONTHS from the mailing date of this communication of the period for reply specified above is less than thirty (30). If NO period for reply is specified above, the maximum states are reply as a second of the period for reply and period for reply and period for reply and period for period by the Office later than three months are earned patent term adjustment. See 37 CFR 1.704(b).	CATION. of 37 CFR 1.136(a). In no event, however, may a repunication. o) days, a reply within the statutory minimum of thirty itutory period will apply and will expire SIX (6) MONTH	ply be timely filed (30) days will be considered timely. HS from the mailing date of this communication.			
Status					
1) Responsive to communication(s) filed	d on 19 August 2004.				
1 ~ 10	b) This action is non-final.				
3)☐ Since this application is in condition for	or allowance except for formal matter	rs, prosecution as to the merits is			
closed in accordance with the practic	e under <i>Ex parte Quayl</i> e, 1935 C.D.	11, 453 O.G. 213.			
Disposition of Claims					
4)⊠ Claim(s) <u>1-11 and 13-26</u> is/are pendir	no in the application				
4a) Of the above claim(s) is/are	e withdrawn from consideration				
5)⊠ Claim(s) <u>1-11 and 13-22</u> is/are allowe	ed.				
6)⊠ Claim(s) <u>23-26</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction	on and/or election requirement.				
Application Papers					
9) The specification is objected to by the	Examiner				
10) The drawing(s) filed on is/are: a	a) accepted or b) objected to by	the Evaminer			
Applicant may not request that any objection	on to the drawing(s) be held in abevance	See 37 CFR 1 85(a)			
Replacement drawing sheet(s) including the	he correction is required if the drawing(s).	is objected to See 37 CED 1 101/4)			
11)☐ The oath or declaration is objected to b	by the Examiner. Note the attached O	Office Action or form PTO-152.			
Priority under 35 U.S.C. § 119					
12)⊠ Acknowledgment is made of a claim for a)□ All b)□ Some * c)⊠ None of:	r foreign priority under 35 U.S.C. § 11	19(a)-(d) or (f).			
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority do	ocuments have been received in Appl	lication No			
3. Copies of the certified copies of	the priority documents have been rec	ceived in this National Stage			
application from the Internationa	ll Bureau (PCT Rule 17,2(a)).				
* See the attached detailed Office action f	or a list of the certified copies not rec	eived.			
	•	Gordnean			
Au 1		GEORGE GOUDREAU			
Attachment(s) 1) Notice of References Cited (PTO-802)	_	PRIMARY EXAMINER			
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date					
 Information Disclosure Statement(s) (PTO-1449 or PTo 	O/SB/08) 5) Notice of Inform	nal Pater nal Patent Application (PTO-152)			
Paper No(s)/Mail Date	6) Other:	,			

U.S. Patent and Trademark Offi PTOL-326 (Rev. 1-04)

Application/Control Number: 10/018,809

Page 2

Art Unit: 1763

1. Claims 1-11, and 13-22 are allowed.

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 23-26 are rejected under 35 U.S.C. 102(b) as being anticipated by Fujiwara et. al. (5,534,109) as applied in paragraph 4 of the previous office action.
- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 6. Claims 23-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fujiwara et. al. as applied in paragraph 7 of the previous office action.

Application/Control Number: 10/018,809

Art Unit: 1763

7. Applicant's arguments filed 8-19-04' have been fully considered but they are not persuasive.

Applicant argues the following points regarding the examiner's rejection of their claimed subject matter.

-The prior art of record fails to disclose that their plasma etchant can be used to selectively etch a group VI material to a group II material in a II-VI compound such that both components of the II-VI compound are etched at the same rate. (That is to say applicant's claimed etch process utilized process parameters which offset for the natural tendency of their plasma etchant to selectively etch a group II material to a group VI material in a II-VI compound.) Further, the prior art of record deals with the selective etching of a II-VI compound to a photo resist material which does not render it obvious under 103 to employ applicant's set of process parameters to achieve the same etch results which are claimed by the applicant. (That is to say the prior art of record has different etch process goals than those which are claimed by the applicant which does not render it obvious under 103 to utilize an etch process such as that claimed by the applicant in the prior art.)

The examiner must disagree.

-The prior art of record employs the same etch gasses to etch the same materials as those, which are, etch by the applicant. The examiner would therefore expect the same etch results to be achieved in the prior art as those, which are achieved by the applicant.

Application/Control Number: 10/018,809

Art Unit: 1763

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Page 4

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication should be directed to examiner
 George A. Goudreau at telephone number (571)-272-1434.

George A. Goudreau

Primary Examiner

Art Unit 1763